

COMPLIANCE RISK OFFICER (SANDTON)

Date: 30 January 2024

An exciting opportunity exists in the Risk and Compliance Department for a Compliance Risk Officer, reporting to the General Counsel, Head of risk and Compliance



Key areas of responsibility

- To assist the Firm with discharging its' obligations under the FIC Act, as well as other applicable laws
- To assist with the compliance risk management process in respect of the high-risk areas within the Firm;
- To assist with mitigating the Firm's higher risk areas down to an acceptable level.
- Development and maintenance of AML Client Risk Assessment Methodologies;
- Must be able to interpret and apply laws, regulations, industry codes, guidance notes issued by regulators, etc;
- In-depth understanding of CDD and EDD;
- Identify and assess risks;
- Monitoring and testing of controls;
- Develop and maintain policies and process documents;
- Develop fit-for-purpose training material and assist the HOD with developing e-learning training programmes;
- Prepare risk reports. Reporting to the Risk and Compliance Committee;

Key areas of responsibility continued

- Develop and maintain risk registers;
- Assist the HOD with compliance projects;
- Develop and manage risk management plans;
- Facilitate training workshops;
- Attend to remediation of identified deficiencies for various frameworks until finally resolved;
- Conduct research regarding emerging risks and keep up-to-date with applicable laws; and
- Attend to ad hoc duties as assigned from time to time.

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Qualifications

- LLB Degree. An admitted attorney with at least 1-2 years' experience in an AML / FICA compliance environment or in any compliance risk management environment; or
- A BCOM, BCOM Law, Accounting degree or a Risk Qualification with at least 4-6 years' experience in an AML / FICA compliance environment or in any compliance risk management environment; or
- Candidates who do not have the abovementioned qualifications, but who do possess the relevant skills and experience listed below, may also be considered. 4 -6 years' experience.

Qualifications or knowledge of the following subjects would be advantageous:

- Anti-money laundering certificates or ACAMS qualification;
- Post-Graduate Diploma in Compliance or Certificate in compliance;
- Data privacy certificate;
- Governance;
- Risk management;
- IT Risk, IT Governance or IT Security certificate

Personal attributes

- Good presentation skills
- Ability to maintain constructive working relationships
- Attention to detail,
- Good time management and problem-solving skills and able to work under pressure
- Strong communication skills (verbal and written)
- Strong team player
- Ability to train others

Knowledge and skills

- An in-depth understanding of the compliance risk management process
- Experience in managing risk management frameworks and programmes

Should you wish to discuss your interest in this position please contact:

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